

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

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IN RE LIBOR BASED FINANCIAL  
INSTRUMENTS ANTITRUST LITIGATION

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Master File No. 1:11-md-2262-NRB

BAY ARE TOLL AUTHORITY,  
Plaintiff,

v.

1:14-cv-03094-NRB

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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CHARLES SCHWAB CORPORATION et al.,  
Plaintiffs,

v.

1:13-cv-07005-NRB

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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CITY OF RICHMOND et al.,  
Plaintiffs,

v.

1:13-cv-00627-NRB

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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CITY OF RIVERSIDE et al.,  
Plaintiffs,

v.

1:13-cv-00597-NRB

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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COUNTY OF MENDOCINO,  
Plaintiff,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

1:13-cv-08644-NRB

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COUNTY OF SACRAMENTO,  
Plaintiff,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

1:13-cv-05569-NRB

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COUNTY OF SAN DIEGO,  
Plaintiff,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

1:13-cv-00667-NRB

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COUNTY OF SAN MATEO et al.,  
Plaintiffs,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

1:13-cv-00625-NRB

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COUNTY OF SONOMA et al.,  
Plaintiffs,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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1:13-cv-05187-NRB

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EAST BAY MUNICIPAL UTILITY DISTRICT,  
Plaintiff,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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1:13-cv-00626-NRB

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GEORGE MADRAGOS et al.,  
Plaintiffs,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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1:13-cv-02297-NRB

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NATIONAL CREDIT UNION ADMINISTRATION,  
Plaintiff,

v.

CREDIT SUISSE GROUP AG et al.,  
Defendants.

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1:13-cv-07394-NRB

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THE REGENTS OF THE UNIVERSITY OF  
CALIFORNIA,

Plaintiffs,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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1:13-cv-05186-NRB

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SAN DIEGO ASSOCIATION OF  
GOVERNMENTS,

Plaintiff,

v.

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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1:13-cv-05221-NRB

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FEDERAL DEPOSIT INSURANCE  
CORPORATION et al.,

Plaintiffs,

v.

1:14-cv-01757-NRB

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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THE FEDERAL HOME LOAN MORTGAGE  
CORPORATION,

Plaintiff,

v.

1:13-cv-03952-NRB

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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PRUDENTIAL INVESTMENT PORTFOLIO  
2 et al.,

Plaintiffs,

v.

1:14-cv-04189-NRB

BANK OF AMERICA CORPORATION et al.,  
Defendants.

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**RULE 7.1 DISCLOSURE**

Pursuant to Fed. Rule Civ. P. 7.1, for Civil Action Matters: 1:14-cv-03094-NRB, 1:13-cv-07005-NRB, 1:13-cv-00627-NRB, 1:13-cv-00597-NRB, 1:13-cv-08644-NRB, 1:13-cv-05569-NRB, 1:13-cv-00667-NRB, , 1:13-cv-00625-NRB, 1:13-cv-05187-NRB, 1:13-cv-00626-NRB, 1:13-cv-02297-NRB, 1:13-cv-07394-NRB, 1:13-cv-05186-NRB, 1:13-cv-05221-NRB. Defendants HSBC BANK USA, N.A. and HSBC HOLDINGS PLC, disclose that: (1) HSBC BANK USA, N.A.'s ultimate parent company is HSBC HOLDINGS PLC; and (2) HSBC HOLDINGS PLC has no parent company and its shares are publicly traded.

Pursuant to Fed. Rule Civ. P. 7.1, for Civil Action Matters: 1:14-cv-01757-NRB, 1:13-cv-03952-NRB, Defendants HSBC BANK USA, N.A. and HSBC HOLDINGS PLC, disclose that: (1) HSBC BANK USA, N.A.'s ultimate parent company is HSBC HOLDINGS PLC; and (2) HSBC HOLDINGS PLC has no parent company and its shares are publicly traded.

Pursuant to Fed. Rule Civ. P. 7.1, for Civil Action Matter 1:14-cv-04189-NRB, Defendants HSBC BANK PLC; HSBC FINANCE CORP., HSBC USA INC., HSBC SECURITIES (USA) INC., and HSBC HOLDINGS PLC disclose that: (1) HSBC BANK PLC's ultimate parent company is HSBC HOLDINGS PLC; (2) HSBC FINANCE CORP.'s ultimate parent company is HSBC HOLDINGS PLC; (3) HSBC USA INC.'s ultimate parent company is HSBC HOLDINGS PLC; (4) HSBC SECURITIES (USA) INC.'s ultimate parent company is HSBC PLC; and (5) HSBC HOLDINGS PLC has no parent company and its shares are publicly traded.

Dated: New York, New York  
Nov. 6, 2014

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